

**CREDIT APPLICATION**

Caterpillar Financial Services Corporation
 Caterpillar Financial Commercial Account Corporation
 Phone: (800) 651-0567 Fax: (615) 341-5925
 Email: Credit.Department@cat.com

Check all that apply. I am financing:

- Equipment from a Cat Dealer
- Equipment from another party or refinance
- Parts, service, attachments or renting equipment from a Cat Dealer with Commercial Account

I have previously applied with Cat Financial for:

- Equipment Financing
- Commercial Account to pay for parts, service, attachments or to rent equipment from a Cat Dealer
- N/A

SECTIONS 1-3 ARE REQUIRED FOR ALL CUSTOMERS**1 CUSTOMER**

Exact Business Name _____ DBA (if any) _____

Type of Business Corporation Limited Liability Co General Partnership Limited Partnership Sole Proprietor Municipality Trust Other

Description of Business _____

Primary Contact Name _____ Title _____ Phone # _____ E-Mail _____

Physical Address _____ City _____ County _____ State/Zip _____ / _____

Billing Address (if different) _____ City _____ County _____ State/Zip _____ / _____

Phone # _____ Fax # _____ Business Start Date _____ Years as Owner of this Business _____

Federal Tax ID Number _____ State Incorporated _____ Sales Tax Exempt Yes (please attach certificate) No

Machine Fleet Size _____

Prior bankruptcy? No Personal Current Business Prior Business

Active liens or judgments? No Liens Judgments

2 OWNERSHIP: Provide copy of government issued ID for all owners completing this section

1) Name _____ Date of Birth ____/____/____ SSN ____/____/____ % of Ownership ____% Net Worth _____

Address _____ City _____ State/Zip _____ / _____ Annual Income _____

2) Name _____ Date of Birth ____/____/____ SSN ____/____/____ % of Ownership ____% Net Worth _____

Address _____ City _____ State/Zip _____ / _____ Annual Income _____

For more than 2 owners, complete OWNERSHIP section and sign an additional Credit Application.

3 REFERENCES

	Phone #	Contact Name	Account #	Average Balance
Primary Bank (Checking Account Specific)				
Additional (Bank/Trade/Equipment Rental/Bonding)				
1) _____				
2) _____				
3) _____				

4 COMPLETE if you are financing PARTS, SERVICE, ATTACHMENTS or RENTING equipment from a Cat Dealer with Commercial Account

Requesting a revolving credit limit range of (select ONE): \$ _____ \$25,000 or less \$25,000-\$75,000 \$75,000 or more (see FINANCIAL section below)

Billing preference (select ONE or statement billing will apply):

STATEMENT BILLING: Receive one statement monthly that covers all transactions made during that period. A minimum payment of 10% (revolving) of the account balance plus interest is due each payment cycle, or pay in full without interest charges. Rental charges are due in full by the due date. As with all statement billing methods payments are applied to the oldest outstanding balance.

INVOICE BILLING: Immediately receive a separate Invoice Bill that mirrors the dealer invoice for each transaction you make, plus receive a monthly summary of all paid and open invoices. The full payment of the invoice is due on the stated terms.

Name(s) of individual(s) authorized to charge on account: 1) Name _____ 2) Name _____

Contact Credit.Department@cat.com to request additional authorized users.

FINANCIAL: Attach the following if financing exceeds \$350,000 for equipment purchases or is over \$75,000 for a Commercial Account

Financial statements for the last 2 fiscal year-ends, latest interim statements and comparable interims from prior year (if fiscal year-end is over 120 days), and a detailed list of work on hand
Additional financial information may be required.

INDUSTRY SPECIFICS

FORESTRY - Attach a list of equipment owned with make, model, year, s/n, lender and balance owing.

- 1. Number of crews _____ 2. Avg. monthly revenues \$ _____ 3. Avg. monthly expenses \$ _____
- 4. Do you own the timber you normally harvest? Yes No
- 5. Primary Customer Names _____ Length of Relationship _____ Is there a contract? _____
- a. _____ Yes No
- b. _____ Yes No

ENGINE / GENERATOR SET (select ONE):

- Mobile and mounted on vehicle or trailer.
Assignment of title to Cat Financial will be required.
- Attach copy of title if you own trailer.
- Fixed and fastened to land/building.
- Attach a completed engine utilization form.
- Pallet/skid/pad mounted (not fixed to the property)

VOCATIONAL TRUCKS

- 1. Products Hauled _____ 2. Fleet size? _____
- 3. Years Operating _____ Years Owning _____
- 4. Primary Customer Names _____ Length of Relationship _____ Is there a contract? _____
- a. _____ Yes No
- b. _____ Yes No

HAZMAT – COMPLETE this section and sign below for EVERY truck transaction.

Do you haul HAZMAT? Yes* No
 *If hauling HAZMAT, attach a completed HAZMAT questionnaire and proof of insurance. Minimum environmental liability coverage required from an acceptable insurer.

NOTICES

Definitions: The terms “you” and “your” will refer to the person applying for financing, each Guarantor and each Signatory signing this credit application. The terms “we”, “us” or “our” will refer to Caterpillar Financial Services Corporation (“CFSC”) and/or Caterpillar Financial Commercial Account Corporation (“CFCAC” and, together with CFSC, the “Cat Financial Companies”), either individually or collectively, as applicable. Collectively, the Cat Financial Companies, Caterpillar Inc. and their affiliates and subsidiaries are referred to herein as the “Caterpillar Companies”.

Representations and warranties: You represent that the information provided by you in this credit application (i) is true, correct and complete and (ii) is provided for the purpose of you obtaining credit from us.

Privacy Notice: You authorize us, or our designee, to investigate or obtain from other Caterpillar Companies, sellers of Caterpillar products (each a “Dealer”), banks, consumer reporting agencies, financial institutions, merchants, customers or any other person or entity any personal or business information related to you that we may deem appropriate, including but not limited to consumer reports and credit histories, for the use described herein. You authorize and instruct each such person or entity to furnish, share or otherwise make accessible to us any such information in their possession. We may use and rely upon such information, and any information provided in this credit application, (a) to make a credit decision to extend credit now or in the future pursuant to a subsequent application or request, (b) to continue any previously provided credit, (c) to review your account, (d) to assist in any collection activity, (e) to otherwise investigate your credit, (f) to improve or market Caterpillar products and services, and (g) to share such information with any other person or entity, including but not limited to the Caterpillar Companies, Dealers, consumer reporting agencies, financial institutions, and merchants.

This application for credit is solely from us. A decision to grant or deny business credit by CFSC will be made by CFSC, and a decision to grant or deny credit by CFCAC will be made by CFCAC. We may, in our sole discretion, refuse to extend business credit, goods, or services to you and may terminate any such credit extended at any time. Any references to a requested amount of credit in this credit application will not be deemed a limitation of liability by you. You understand and agree that any credit granted by us to you will be governed by the provisions and conditions set forth in CFCAC’s Customer Agreement (or similarly titled) between us where granted by CFCAC or the applicable agreements between us where granted by CFSC.

You acknowledge that this credit application is for business customers only (including sole proprietorships) and credit provided by us in connection with this credit application may not be used to acquire equipment or services for personal, household or family purposes. You acknowledge that you have read and fully understand the terms and conditions contained in this credit application.

The federal Equal Credit Opportunity Act prohibits creditors from discriminating against credit applicants on the basis of race, color, religion, national origin, sex, marital status, age (provided the applicant has the capacity to enter into a binding contract); because all or part of the applicant’s income derives from any public assistance program; or because the applicant has in good faith exercised any right under the Consumer Credit Protection Act. The federal agency that administers compliance with this law concerning these creditors is the FTC Regional Office for the region in which the Cat Financial Companies operate or the Federal Trade Commission, Equal Credit Opportunity, Washington, DC 20580.

If your application for business credit is denied, you have the right to a written statement of the specific reasons for the denial. To obtain the statement, contact us at the applicable address below within 60 days from the date you are notified of our decision. We will send you a written statement of the reasons for the denial within 30 days from receiving your request for the statement.

Caterpillar Financial Services Corporation, Attn: Credit Manager, 2120 West End Ave., P.O. Box 340001, Nashville, TN 37203

SIGNATURES

Required signatures: If you are a legal entity (e.g., corporation, limited liability company or limited liability partnership), an authorized person must sign below on your behalf in addition to each owner listed in this credit application. If you are a partnership or a sole proprietorship, each owner must sign below.

Authorized Signature _____ Date _____

Printed Name _____ Title _____

Ownership (To be completed by every owner identified in the OWNERSHIP Section of this Application; ID required)

1) Signature _____ Printed Name _____ Date _____

2) Signature _____ Printed Name _____ Date _____

TO BE COMPLETED BY A CATERPILLAR REPRESENTATIVE OR CAT DEALER

Identity verified for all signatories listed above Yes No Identification attached, if applying with Cat Financial Commercial Account Yes No

VOCATIONAL TRUCK / ENGINE / GEN SET: application number required for every transaction _____. Attach truck and body build sheets for vocational trucks.